

ALVA'S INSTITUTE OF ENGINEERING AND TECHNOLOGY

Shobhavan Campus, Mijar, Moodbidri - 574225

(Affiliated to Visvesvaraya Technological University, Belagavi)

Approved by AICTE, New Delhi & Recognized by Government of Karnataka)



ALVA'S
Education Foundation®

A Report on

SOGO SYNERGY PRIVATE LIMITED

INDEX

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E-WASTE DISPOSAL AGREEMENT

This E-waste Disposal Agreement ("Agreement") is made on 05.10.2020, by and between at Bengaluru.

M/s Sogo Synergy Pvt Ltd located at D-1/1, Hayes Road, 11/9, Hayes Court, Richmond Town, Bengaluru-560025 hereby authorized Mr. G R LAWHALE which expression shall unless repugnant to the context include its legal/authorised representatives, administrators, executors, nominees, successors and permitted assigns) of the first part; REFERED AS "SOGO SYNERGY"

AND

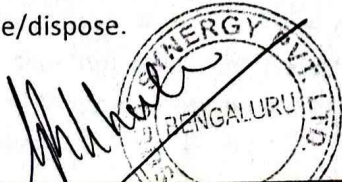
M/s. "Alva's Education Foundation (R)" (AEF), located at "Alva's Health Centre, Moodbidri - 574227" hereby authorized Dr. M. Mohan Alva, which expression shall unless repugnant to the context include its legal/ authorised representatives, administrators, executors, nominees, successors and permitted assigns) of the second part mentioned as "Alva's Education Foundation (R)" (AEF).

This agreement is applicable to various institutions of AEF, namely:

1. Alva's Institute of Engineering & Technology, Mijar, Moodbidri.
2. Alva's College of Naturopathy & Yogic Sciences, Mijar, Moodbidri.
3. Alva's Homeopathic Medical College, Mijar, Moodbidri.
4. Alva's Ayurveda Medical College, Vidyagiri, Moodbidri.
5. Alva's Degree College, Vidyagiri, Moodbidri.
6. Alva's Pre-University.

Whereas "Alva's Education Foundation (R)" (AEF), has IT and all electrical, electronic products/ service related hardware material or any other material as may be specified by "Alva's Education Foundation (R)" (AEF), (the "Material" as hereinafter defined) that it may from time to time wish to dispose of, and SOGO SYNERGY desires to execute a complete dismantling & disposal program on behalf of "Alva's Education Foundation (R)" (AEF). Therefore, "Alva's Education Foundation (R)" (AEF) shall provide, and SOGO SYNERGY shall dismantle & dispose-off the Material in accordance with the following terms of this Agreement:

1. **Waste Material-** Waste Material is hereinafter defined as waste of all forms of electronics equipment, IT equipment's like Desktops, Monitor, Laptop, Servers, Modems and converters, Multiplexes, Switches, XDSL, ADSL, Wireless radio, DOME, Backhaul Optimisation, Optical, CPE telecommunications equipment's, including but not limited to e-waste in the form of laptops, desktops, servers, network personal equipment's, monitors, telephony, printers, faxes, copiers, data assistants process control equipment, server towers, server rack,scanners, batteries, server battery backups, uninterruptable power supplies, electronic storage media and all accessories and peripherals for above mentioned equipment's including toners which "Alva's Education Foundation (R)" (AEF) may want to recycle/dispose.



[Signature]
PRINCIPAL

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SALES
RENTALS
EWASTE

SOGO SYNERGY PRIVATE LIMITED

REGISTERED OFFICE: D1/1, Hayes Court, 3rd Floor, 11/9, Hayes Road (Off Richmond Road) Bengaluru-560025

Tel: +91 222 76829, 414 30300/399 Email: sogo@sogoindia.com www.sogosynergy.com Toll Free 1800 102 4780

2. **Services-** SOGO SYNERGY shall provide following services to collection, transportation and destruction of Waste Material from various locations of "Alva's Education Foundation (R)" (AEF). "Alva's Education Foundation (R)" (AEF) will notify places for pick up as per the accumulation of WEEE (Waste Electrical and Electronic Equipment) with different locations pan India collection of the Material, within 15 working days or case to case from date of notice duly informed by "Alva's Education Foundation (R)" (AEF) by an authorised representatives.
3. **Destructions** – SOGO SYNERGY shall destruct the entire quantity of Material within 30 working days of receipt of material. "Alva's Education Foundation (R)" (AEF) authorized representative may also witness the destruction at our facility located at Bengaluru. SOGO SYNERGY shall provide to "Alva's Education Foundation (R)" (AEF) written confirmation through "Certificate of Destruction".
4. **Payment-** We shall bear all handling costs for the Waste Material collected from "Alva's Education Foundation (R)" (AEF) in full and all costs associated with the provision of the Services rendered. We shall pay to "Alva's Education Foundation (R)" (AEF) on collection of e-waste and as per the rates declared after inspection and evaluation of E-waste to be disposed on time to time.
5. **Warrantee-SOGO SYNERGY Representation and Warranties –**
 - 5.1 While performing all Services hereunder, we agree to comply with all applicable permits, all central, state, and local laws, regulations and ordinances and all duly constituted authorities upon request of "Alva's Education Foundation (R)" (AEF).
 - 5.2 We shall furnish copies thereof in advance. We hereby specifically agree and confirm that it is fully compliant to undertake this work from "Alva's Education Foundation (R)" (AEF) in terms of the "E-waste (Management and Handling) Rules, 2016" and it possesses all the certificates mentioned under the said Rules.
 - 5.3 We will be responsible for the statutory compliances including environmental compliances pertaining to the activities and Services mentioned above, "E-waste (Management and Handling) Rules, 2016" and "Alva's Education Foundation (R)" (AEF) will not in any way be responsible for the same once the Waste Material is handed over by "Alva's Education Foundation (R)" (AEF).
 - 5.4 We have obtained all necessary permits, licenses and other central, state or local authorizations required to perform the Services and upon request of "Alva's Education Foundation (R)" (AEF), we shall also furnish copies thereof to "Alva's Education Foundation (R)" (AEF).
 - 5.5 We shall keep and retain adequate books and records and other documentation consistent with and for the periods required by applicable regulatory requirements and guidelines pertaining to performance of the Services required by this Agreement. Said records, books and documentation relevant to the above-said purpose shall be available for inspection by "Alva's Education Foundation (R)" (AEF) upon reasonable advance notice.
 - 5.6 We shall not resell the Waste Material in the original form which has been collected from "Alva's Education Foundation (R)" (AEF) except after totally destroying the Waste Material.

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EWASTE

SOGO SYNERGY PRIVATE LIMITED

REGISTERED OFFICE: D1/1, Hayes Court, 3rd Floor, 11/9, Hayes Road (Off Richmond Road) Bengaluru-560025

Tel: +91 222 76829, 414 30300/399, Fax: +91 80 221 20641 Email: sogo@sogoindia.com www.sogosynergy.com Toll Free 1800 102 4780

GST No. 29AAJCS3658Q1ZC CIN No. U30007KA2005FTC037509

- 5.7 As an integral part of this Agreement, we hereby represent that they or any of their officials or representatives shall not give or promise to give any money or gift to any employee / official of "Alva's Education Foundation (R)" (AEF) to influence its decisions regarding this Agreement, nor shall they exert or utilize any unlawful influence to solicit or secure this Agreement through a promise to pay a commission, percentage, brokerage or contingent fee.
- 5.8 We shall ensure that the Waste Material is transported safely and there is no leakage during transit.
- 5.9 We confirm and warrant that the Waste Material so collected by it under this Agreement shall not be misused by it directly or indirectly or dealt with in any other manner other than as expressly stated in this Agreement, and agree to indemnify "Alva's Education Foundation (R)" (AEF) in case such representation/warranties are breached.
- 5.10 We shall ensure that all government approvals, statutory compliances as per E Waste Rules as mentioned above and QEHS Quality, Environment, Health and Safety standards.
- 5.11 We shall also assist "Alva's Education Foundation (R)" (AEF) in maintaining records, statutorily required to be maintained in terms of the above mentioned E-waste Rules, pertaining to e-waste collected from "Alva's Education Foundation (R)" (AEF).
6. **BUSINESS CONTINUITY MANAGEMENT PLAN**
- 6.1 SOGO SYNERGY shall ensure that at all times it has in place and is able to implement a business continuity and disaster recovery plan which will ensure the continued performance and operational resilience of the Services/ deliverables provided by us.
- 6.2 SOGO SYNERGY shall be open to the audit of its business continuity arrangements by "Alva's Education Foundation (R)" (AEF) as and when required by "Alva's Education Foundation (R)" (AEF).
7. **"Alva's Education Foundation (R)" (AEF) Representations and warranties**
- 7.1 "Alva's Education Foundation (R)" (AEF) has free and unencumbered title to all Waste Material delivered to us pursuant to this Agreement.
- 7.2 "Alva's Education Foundation (R)" (AEF) shall not knowingly ship Hazardous Wastes to us pursuant to this Agreement. In the event Hazardous Wastes are identified upon receipt at or during subsequent processing, such substances (as mentioned in Annexure-I) shall be quarantined, in manner sufficient to reasonably protect human health and real and personal property.
- 7.3 "Alva's Education Foundation (R)" (AEF) shall issue all proper despatch documents (invoices, gate pass, declarations, GST forms etc.), where applicable, and Form 6 as per the hazardous waste manifest for transportation along with the Waste Material authorisation and ownership Transfer letter for transport.
8. **Period of Agreement** - This agreement shall be in force for 3 years from the date of execution hereof ("05.10.2020") unless and until terminated in a manner set forth in paragraph. This Agreement may however be terminated by "Alva's Education Foundation (R)" (AEF) at any time during the term, without giving any advance notice to us, in case we fail to comply with its obligations under this Agreement.



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SOGO SYNERGY PRIVATE LIMITED

REGISTERED OFFICE: D1/1, Hayes Court, 3rd Floor, 11/9, Hayes Road (Off Richmond Road) Bengaluru-560025

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GST No. 29AAJCS3658Q1ZC CIN No. U30007KA2005FTC037509

Email: sogo@sogoindia.com

www.sogosynergy.com

Toll Free 1800 102 4780

9. **Termination of Agreement** - Agreement may be terminated at any time by "Alva's Education Foundation (R)" (AEF) or SOGO SYNERGY, delivering upon 30 days' written notice to the other party. In the event of such termination, the parties will be paid for services Performed or amounts due for Waste Material processed up to the date of such termination and not thereafter.
10. **Modification** This Agreement may not be modified, waived, or extended unless mutually agreed to in writing, and it may not be terminated except as provided above. A waiver by either party of any terms and conditions of this Agreement in one or more instances will not constitute a permanent waiver of such terms and conditions unless so stated in writing
11. **Resolution of Disputes:** The parties shall endeavour to resolve any problem or divergence resulting from the interpretation or application of this Agreement in a spirit of co-operation and mutual understanding. In the event of any dispute or difference arising out of / relating to this Agreement between the parties, the same shall be settled by arbitration in accordance with the provisions of Indian Arbitration and Conciliation Act, 1996 or any statutory modification or re-enactment thereof. Any dispute subject to Bengaluru Jurisdictions.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the date first written above.

For and on Behalf of-

M/s Sogo Synergy Pvt Ltd

M/s "Alva's Education Foundation (R)" (AEF).

Authorized Signatory



Authorized Signatory

Alva

Chairman

Alva's Education Foundation (R)
MOODIDRI - 574227, D.K.

Witnesses

1.

2.

[Signature]

PRINCIPAL

Alva's Institute of Engg. & Technology
MIDAR. MOODIDRI - 574 225, D.K.

DEPENDABLE
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SALES
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E-WASTE

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Activity Report

on

E - WASTE MANAGEMENT

E - WASTE MANAGEMENT

The E-Waste generated in the campus is collected by M/s Sogo Synergy Pvt. Ltd, and Bangalore. The institute thoroughly understands its responsibility towards societal and environmental issue and displays sensitivity to issues like global warming, climate change, e-waste management and other environmental issues. To play its bit, the institute has devised practices which help in handling these issues by adopting various green initiatives. Among many other steps e-waste management is adopted as a regular practice in the institution.

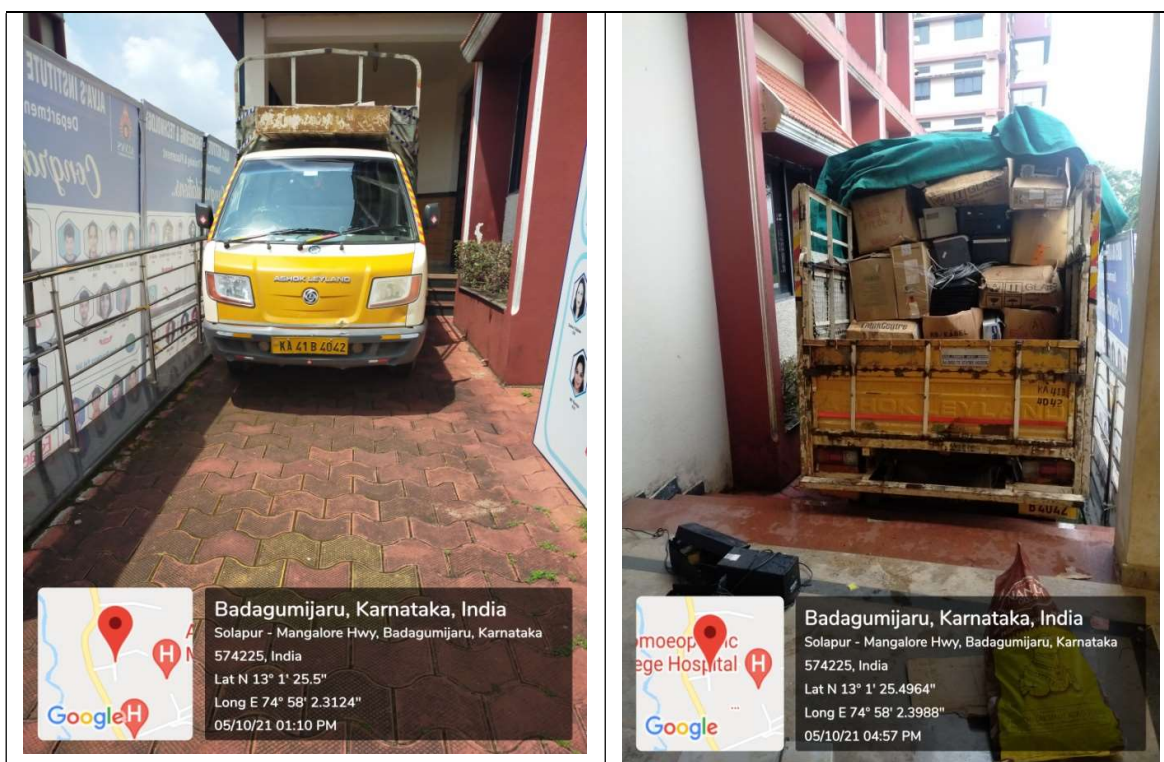


Fig. Collection of E- Waste

Form 6
[See Rule 19]
E-WASTE MANIFEST

1	Sender's Name & Mailing Address (including phone No.)		ALVAS INSTITUTE OF ENGG & TECH SAGBAVANA CAMPUS, MIJAR POST NEEDBIDRI - 574225.					
2	Sender's authorisation no. if applicable:							
3	Manifest Document No.		SOGO SYNERGY PVT. LTD.					
4	Transporters Name & Address (including phone No.)		D-1/1, 3rd Floor, Hayes Court 11/9, Hayes Road, Off. Richmond Road BENGALURU - 560 025. TIN No. 29590151666					
5	Type of Vehicle		(Truck/Tanker/Special Vehicle)					
6	Transporters Registration		OWN					
7	Vehicle Registration No.		KA 41 B 4042					
8	Receiver's Name & Address:		SOGO SYNERGY PVT. LTD. Plot No. SW - 17B Apparel Park Phase II Goddabai Tannara Industrial Area Bengaluru Rural District - 561 203					
9	Receiver's Authorisation No. if applicable:		PCB/WMC/2864/E-waste/2018-19					
10	Description of E-Waste (Item, Weight/ Numbers)		ITEW - Ewaste - 1 Lot					
11	Name and stamp of Sender* (Manufacturer or Producer or Bulk Consumer or Collection Centre or Refurbisher or Dismantler):							
	Signature:		Month		Day		Year	
			10		05		2021	
12	Transporter Acknowledgement of receipt of E-wastes							
	Name & Stamp:		Signature:		Month		Day	
					10		05	
					20		21	
13	Receiver* (Collection Centre or Refurbisher or Dismantler or Recycler) certification of receipt of E-waste							
	Name & Stamp:		Signature:		Month		Day	
					10		05	
					20		21	

* As applicable

Note:

Copy number with colour code (1)	Purpose (2)
Copy 1 (Yellow)	To be retained by the sender after taking signature on it from the transporter and other three copies will be carried by transporter.
Copy 2 (Pink)	To be retained by the receiver after signature of the transporter.
Copy 3 (Orange)	To be retained by the transporter after taking signature of the receiver.
Copy 4 (Green)	To be returned by the receiver with his/her signature to the sender.

A MARK OF TRUST **CERTIFICATIONS**





Orion Registrar, Inc.

Thorough and Fair Auditing

Certificate of Certification

This is to certify the Responsible Recycling System of:

Sogo Synergy PVT. LTD.

**Industrial Plot No. SW 17-B, Apparel Park
Phase 2, Doddaballapura Industrial Area
Bangalore Rural, Bengaluru, Karnataka 561203 India**

*Has been assessed by Orion Registrar and found to be in
Compliance with the following Recycling Standard:*

R2:2013

The Responsible Recycling System is applicable to:

**Receipt, Sorting, Storage, Functional Testing,
Data Destruction, Dismantling, Segregation,
Shredding of IT Equipment & Mobile Phones,
Precious Metal Recovery from PCB,
CRT Dismantling, Packing and Dispatch.**

The Certification period is from

December 2020 ,19 to June 2023 ,30

*This certification is subject to the company maintaining its system to the
required standard, and applicable exceptions, which will be monitored by Orion.*

Client ID: 9896

Certificate ID: 1020798

As applied by the R2 Code of Practices including
No Allowance was applied as defined in the Section VII.




Paul M. Burck, President
December 2020 ,23
Date



CERTIFICATE

*This is to Certify that the
Environmental Management System
of*

SOGO SYNERGY PVT. LTD.

**PLOT SW 17-B, APPAREL PARK PHASE 2, DODDABALLAPURA
INDUSTRIAL AREA, BANGALORE RURAL
DISTRICT-561203, INDIA**

**has been independently assessed and is compliant
with the requirements of**

ISO 14001:2015

This Certificate is applicable to the following product or service ranges:

**COLLECTION, TRANSPORT, RECEIPT, STORAGE, DISMANTLING, SORTING,
PACKAGING, DISPATCH, RECYCLING OF E-WASTE AND REFURBISHMENT
OF IT EQUIPMENT SUCH AS LAPTOPS, DESKTOPS, SERVERS, COMPONENTS,
LCD, TFT MONITORS, PRINTERS AND TELEVISION.**

Certificate No.: IN86537B

Date of initial registration	20 September 2019
Date of this Certificate	20 September 2019
Surveillance audit on or before	19 September 2020
Recertification Due / Certificate expiry	19 September 2022

This Certificate is property of LMS Certifications and remains valid
subject to satisfactory surveillance audits.

Director

For verification and updated information concerning the present certificate visit to www.lmscert.com

This Certificate is the property of LMS Certification Limited and shall be returned immediately when demanded.



LMS Certification Limited
35 Park Hill, Huddersfield, HD2 1QG, West Yorkshire, United Kingdom.
Phone : +44 2089355094
Visit :- www.lmscert.com
E-mail :- info@lmscert.com



LMS/IAS/F100E/17/REV02



CERTIFICATE

*This is to Certify that the
Occupational Health & Safety Management System
of*

SOGO SYNERGY PVT. LTD.

**PLOT SW 17-B, APPAREL PARK PHASE 2, DODDABALLAPURA
INDUSTRIAL AREA, BANGALORE RURAL
DISTRICT-561203, INDIA**

**has been independently assessed and is compliant
with the requirements of**

ISO 45001:2018

This Certificate is applicable to the following product or service ranges:

**COLLECTION, TRANSPORT, RECEIPT, STORAGE, DISMANTLING, SORTING,
PACKAGING, DISPATCH, RECYCLING OF E-WASTE AND REFURBISHMENT
OF IT EQUIPMENT SUCH AS LAPTOPS, DESKTOPS, SERVERS, COMPONENTS,
LCD, TFT MONITORS, PRINTERS AND TELEVISION.**

Certificate No.: IN86537C-1

Date of initial registration 20 September 2019

Date of this Certificate 20 September 2019

Surveillance audit on or before 19 September 2020

Recertification Due / Certificate expiry 19 September 2022

This Certificate is property of LMS Certifications and remains valid
subject to satisfactory surveillance audits.

Director

For verification and updated information concerning the present certificate visit to www.lmscert.com

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LMS/IAS/F109OH/17/REV02



भारत सरकार
Govt. of India
सूक्ष्म, लघु और मध्यम उद्यम मंत्रालय
MINISTRY OF MICRO, SMALL & MEDIUM ENTERPRISES

MSME
सूक्ष्म, लघु और मध्यम उद्यम
MICRO, SMALL & MEDIUM ENTERPRISES



उद्योग आधार



Udyog Aadhaar



B

Type of Enterprise	Micro	Small	Medium
Manufacturing	A	B	C
Services	D	E	F
UAM No.	KR02B0012157		

Udyog Aadhaar Registration Certificate

Udyog Aadhaar Number: KR02B0012157
Name of Enterprise: SOGO SYNERGY PVT LTD
Location of Plant Details:

SN	Flat/Door/Block No.	Name of Premises/Building Village	Road/Street/ Lane	Area/Locality	City	Pin	State	District
1	PLOT NO. SW-17-B	Apparel Park, Phase-II	Doddaballapura Industrial Estate	Doddaballapura	Bengaluru Rural	561203	KARNATAKA	BENGALURU (RURAL)

Official Address of Enterprise: D-1/1, HAYES ROAD, 11/9, HAYES COURT, 3RD FLOOR, RICHMOND TOWN, BENGALURU-560025
District: BENGALURU (RURAL) State: KARNATAKA PIN: 560025
Mobile No: 9886322221 Email: msmeaadhaar12345@boximail.com

Date of commencement: 10/07/2015
Major Activity: MANUFACTURING
Enterprise Type: Small
Previous Registration details-if any: ::
National Industry Classification Code:

SN	NIC 2 Digit	NIC 4 Digit	NIC 5 Digit Code	Activity Type
1	38 - Waste collection, treatment and disposal activities; materials recovery	3811 - Collection of non-hazardous waste	38110 - Collection of non-hazardous waste	Manufacturing
2	38 - Waste collection, treatment and disposal activities; materials recovery	3830 - Materials recovery	38300 - Materials recovery	Manufacturing

Acknowledgement: Date of Filing: 19/07/2019 Date of Printing: 19/07/2019

Disclaimer: This is computer generated statement, no signature required.
Printed from udyogaadhaar.gov.in

MyMsme Mobile App (Beta Version) is available now for download. <https://play.google.com/store/apps/details?id=msme.mysmsme>



ಕರ್ನಾಟಕ ರಾಜ್ಯ ಮಾಲಿನ್ಯ ನಿಯಂತ್ರಣ ಮಂಡಳಿ Karnataka State Pollution Control Board

“ಪರಿಸರಭವನ”, 1 ರಿಂದ 5ನೇ ಮಹಡಿಗಳು, ನಂ.49, ಚರ್ಚ್‌ಸ್ಟ್ರೀಟ್, ಬೆಂಗಳೂರು - 560 001, ಕರ್ನಾಟಕ, ಭಾರತ
“Parisara Bhavana”, 1st to 5th Floor, # 49, Church Street, Bengaluru - 560 001, Karnataka, INDIA

FORM

[See Rules 13(3)(ii),(iii),(iv)]

5 JUL 2019

FORMAT FOR GRANTING AUTHORISATION FOR DISMANTLING AND RECYCLING OF E-WASTE

Ref: Your application Reg No.163790/2019 for Grant of Authorisation for Dismantling and Recycling of E-waste.

1. (a) Authorisation no.PCB/WMC/2864/E-waste/2018-19 / and (b) Date of issue:
2. The Director of M/s. Sogo Synergy Pvt Ltd, is hereby granted authorisation for Dismantling and Recycling of e-waste in the premises located at Plot SW 17B, Apparel park Phase2, Doddabalapura Industrial area, Bangalore Rural District-561203 for the following:

- a. Quantity of E-waste recycling: 135 MT/Month only
- b. Nature of e-waste for recycling: Electrical and Electronic Waste.

3. This authorisation shall be valid for a period up to 30.06.2024.
4. The authorisation is subject to the conditions stated below and such conditions as may be Specified in the Rules for the time being in force under the Environment (Protection) Act, 1986.

Signature _____

Designation: **MEMBER SECRETARY**

Date: _____

Terms and conditions of authorisation

1. The applicant for authorisation shall comply with the provisions of the Environment (Protection) Act, 1986, and the Rules made there under.
2. The authorisation or its renewal shall be produced for inspection at the request of an officer authorized by the concerned State Pollution Control Board.
3. Any unauthorised change in personnel, equipment or working conditions as mentioned in the application by the person authorized shall constitute a breach of his authorisation.
4. It is the duty of the authorised person to take prior permission of the concerned State Pollution Control Board to close down the operations.
5. An application for the renewal of an authorisation shall be made in Form 4 before 120 days of its expiry.

SPECIFIC CONDITIONS

I. The applicant shall comply with Rule 10 of E-waste (Management) Rules, 2016 as under:

1. Ensure that the facility and dismantling processes are in accordance with the standards or guidelines prescribed by Central Pollution Control Board from time to time;

2. Obtain authorisation from the concerned State Pollution Control Board in accordance with the procedure under sub-rule (3) of rule 13;
3. Ensure that no damage is caused to the environment during storage and transportation of e waste;
4. Ensure that the dismantling processes do not have any adverse effect on the health and the environment;
5. Ensure that non-recyclable or non-recoverable components are sent to authorised treatment storage and disposal facilities;
6. File a return in Form-3, to the concerned State Pollution Control Board as the case may be, on or before 30th day of June following the financial year to which that return relates;
7. Not process any e-waste for recovery or refining of materials, unless he is authorised with concerned State Pollution Control Board as a recycler for refining and recovery of materials;
8. Operation without Authorisation by any dismantler, as defined in this rule, shall be considered as causing damage to the environment.

II. The applicant shall comply with the Guidelines for implementation of E-waste (Management) Rules, 2016 issued by CPCB for dismantler as follows

1. The dismantlers may set up their collection centre, details of which shall be entered in their authorisation. These collection centres shall not require separate authorisation.
2. The dismantler shall be connected to either Producers or Producer Responsibility Organisations (PRO) or e-waste exchange or take back system or authorised recycler.
3. The dismantler shall have valid consent from KSPCB under the Water (Prevention and Control of Pollution) Act, 1974 and the Air (Prevention and Control of Pollution) Act, 1981.
4. The dismantler shall have Weigh Bridge and other appropriate weighing equipment for weighing each delivery received by it and maintain a record in this regard.
5. The unloading of e-waste/end of life products shall be carried out in such a way that there shall not be any damage to health, environment and to the product itself. Unloading of Cathode Ray Tubes (CRT), LCD / LED / Plasma TV, refrigerator, air conditioners and fluorescent and other mercury containing lamps should be carried out under supervision in such a way to avoid breakage.
6. The dismantler shall have facilities for destroying or permanently deleting data stored in the memory of end of life products (Hard Disk, Telephones, and Mobile phones) either through hammering or through data eraser.
7. The dismantling operation is essentially manual operation for segregating various components/ parts and sending them to respective users/ recyclers. Directly usable components can be sent only to an authorised refurbisher. The other parts can be sent to recyclers having valid CFO / authorised E- waste recyclers depending upon the nature of the part.
8. The dismantling operation shall comprise of physical separation and segregation after opening the electrical and electronic equipment into the component by manual operations.
9. Manual dismantling operations shall be carried out over the dismantling table with space de-dusting system so as to maintain desirable work zone air quality as per the factories Act as amended from time to time.

10. The de dusting system should consist of suction hoods over dismantling table connected with a cyclone, bag filter and venting through a chimney of three-meter height above roof level.
11. The workers involved in dismantling operation shall have appropriate equipment such as screwdrivers, wrenches, pliers, wire cutters, tongs and hammers etc. for dismantling the E-waste.
12. The dismantlers shall not carry out shredding / crushing / fine grinding/wet grinding/enrichment operations and gravity/ magnetic/density/eddy current separation of printing circuit board or the components attached with the circuit board.
13. The dismantlers shall not be permitted for dismantling of fluorescent and other mercury containing lamps, CRT / LCD / Plasma TV.
14. The dismantlers shall not be permitted for chemical leaching or heating process or melting the material.
15. The dismantled circuit boards, capacitors, batteries, capacitors containing PCBs (Polychlorinated biphenyls) or PCTs (Polychlorinated terphenyls) etc. shall not be stored in open.
16. The premise for dismantling operation shall be with water proof roofing and impermeable surfaces.

III. The applicant shall comply with Rule 11 of E-waste (Management) Rules, 2016 as under;

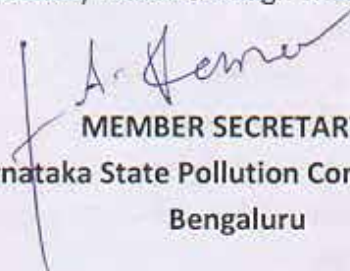
- (1) Shall ensure that the facility and recycling processes are in accordance with the standards or Guidelines prescribed by the Central Pollution Control Board from time to time;
- (2) Obtain authorisation from concerned State Pollution Control Board in accordance with the Procedure under the sub-rule (3) of rule 13;
- (3) Ensure that no damage is caused to the environment during storage and transportation of E-waste;
- (4) Ensure that the recycling processes do not have any adverse effect on the health and the Environment;
- (5) Make available all records to the Central Pollution Control Board or the concerned State Pollution Control Board for inspection;
- (6) Ensure that residue generated during recycling process is disposed of in an authorised treatment storage disposal facility;
- (7) File annual returns in Form-3, to the concerned State Pollution Control Board as the case may be, on or before 30th day of June following the financial year to which that returns relates;
- (8) May accept waste electrical and electronic equipment or components not listed in Schedule-I for recycling provided that they do not contain any radioactive material and same shall be indicated while taking the authorisation from concerned State Pollution Control Board.

IV. The applicant shall comply with the Guidelines for implementation of E-waste (Management) Rules, 2016 issued by CPCB for recyclers as follows;

1. Recyclers may set up their collection centres, details of which shall be entered in their authorisation. These collection centres shall not require separate authorisation. Recyclers can obtain raw material such as waste electrical and electronic assemblies or components

or used components from producers/PRO/e-waste exchange/dismantlers and consumers/bulk consumers.

2. The Product of recyclers has to be sent or sold to users or other recyclers having valid CTO from SPCBs/PCCs. Any hazardous waste generated during the recycling processing will be sent to TSDF.
3. A recycler should be part of producer's channelization system.
4. A recycler should have Weigh Bridge and other appropriate weighing equipment for weighing each delivery received by it and maintains a record in this regard.
5. The unloading of end of life product should be carried out in such a way that there should not be any damage to health, environment and to the product itself. A recycler should have facilities for destroying or permanently deleting data stored in the memory of end of life products (Hard Disk, Telephones, and Mobile phones) either through shredding or grinding or through data eraser.


MEMBER SECRETARY
Karnataka State Pollution Control Board
Bengaluru

To,
The Director,
M/s. Sogo Synergy Pvt Ltd
Plot SW 17B, Apparel park Phase2,
Doddabalapura Industrial area,
Bangalore Rural District-561203

SOGO



Registration of Producer Responsibility Organisation
[Under rule 13 1(xvii)]

Date of Issue: 16-06-2020

Ref: Your application for Registration as Producer Responsibility Organisation under E-Waste (Management) Rules, 2016 and amendments thereafter.

M/s Sogo Synergy Pvt. Ltd., D-1/1, Hayes Court, 3rd Floor, 11/9, Hayes Road, Richmond Town, Bengaluru-560025 is hereby **granted Registration as Producer Responsibility Organisation (PRO)** under Rule 13 (1) (xvii) of the above mentioned rules for following EEE codes:

ITEW1	ITEW2	ITEW3	ITEW4	ITEW5	ITEW6	ITEW7	ITEW8
ITEW9	ITEW10	ITEW11	ITEW12	ITEW13	ITEW14	ITEW15	ITEW16
CEEW1	CEEW2	CEEW3	CEEW4	CEEW5			

The PRO Registration Number is B- 29016(49)/(PRO)/2019/WM-III Division, Dated 16-06-2020

- M/s Sogo Synergy Pvt. Ltd.,** shall function as Producer Responsibility Organisation (PRO) and undertake the activities prescribed under the above mentioned Rules and the Guidelines for Producer Responsibility Organization (PRO) of Central Pollution Control Board (CPCB).
- This Registration shall be valid for a period of five (5) years from the date of issuance of this PRO Registration.
- The registered PRO shall maintain information related to its toll free numbers, customer care numbers, list of dismantlers, list of recyclers, list of its collection centres, list of collection points and details of its reverse logistic partners (transporters) along with their contact details on its web sites www.sogosynergy.com
- The registered PRO shall maintain records of e-waste and make such records available for scrutiny by Central Pollution Control Board as and when required.
- The registered PRO shall file annual returns to the Central Pollution Control Board on or before 30th day of June following every financial year.
- The registered PRO shall comply with provisions of the Environment (Protection) Act, 1986, E-Waste (Management) Rules, 2016, E-Waste (Management) Amendment Rules, 2018, other applicable regulations and CPCB's guidelines issued from time to time under these rules.
- An application for the renewal of registration shall be made before 60 days of its expiry.
- The Central Pollution Control Board reserves right to cancel/amend/revoke the PRO registration at any time in case of any violation of the E-Waste (Management) Rules 2016 and amendments thereafter or CPCB's guidelines issued from time to time under these rules.

To,
M/s Sogo Synergy Pvt. Ltd.,
D-1/1, Hayes Court, 3rd Floor,
11/9, Hayes Road, Richmond Town,
Bengaluru-560025

Anand Kumar
16/06/2020
Authorized signatory
Anand Kumar (With designation)
Additional Director &
Divisional Head, WM-III Division
Central Pollution Control Board
M/o Env't, Forest & Climate Change, Govt. of India
Parivesh Bhawan, East Arjun Nagar
Delhi-110 032



FORM 1 (aa)

[Under rule 5 (6) and 13 (1)(ii)]

EXTENDED PRODUCER RESPONSIBILITY- AUTHORISATION

[Extended Producer Responsibility Authorisation for Producer of the Electrical & Electronic Equipment]

Ref: Your application for Grant of Extended Producer Responsibility - Authorisation for following Electrical & Electronic Equipment under E-Waste (Management) Rules, 2016.

- I. Centralized data processing: (Mainframes) (ITEW1);
- II. Personal Computing: Personal Computers (Central Processing Unit with input and output devices: (ITEW2);
- III. Personal Computing: Laptop Computers (Central Processing Unit with input and output devices: (ITEW3);
- IV. Personal Computing: Notebook Computers: (ITEW4);
- V. Personal Computing: Notepad Computers: (ITEW5);
- VI. Printers including cartridges: (ITEW6);
- VII. Copying Equipment: (ITEW7);
- VIII. Electrical and electronic typewriters (ITEW8);
- IX. User terminals and systems: (ITEW9); and
- X. Telephones: (ITEW12);

1. EPR Authorisation Number: B- 29016(1108)/(EPR)/18/WM-III Division Date: 10.04.2019
2. M/s. Sogo Synergy Pvt. Ltd., D1/1, 3rd Floor, Hayes Court 11/9, Hayes Road, Off Richmond Road, Bangalore-560025 is hereby granted Extended Producer Responsibility - Authorisation based on:
 - (a) Extended Producer Responsibility plan and;
 - (b) Proposed target for collection of E-waste submitted by them.

M/s. Sogo Synergy Pvt. Ltd. shall meet the yearly collection target for e-waste as per the table given below:

Year	Collection targets of EEE-in Weight (MT)				
	ITEW1 (Mainframe)	ITEW2	ITEW3	ITEW4	ITEW5
2019-20	1.10	1.60	1.35	0.50	0.80
2020-21	*	*	5.85	1.50	0.40
2021-22	*	*	8.00	1.50	3.50
2022-23	*	*	6.60	4.20	5.40
2023-24	*	*	*	*	*

Year	Collection targets of EEE-in Weight (MT)				
	ITEW6	ITEW7	ITEW8	ITEW9	ITEW12
2019-20	0.45	0.15	0.10	0.60	1.10
2020-21	*	*	0.30	*	*
2021-22	*	*	0.50	*	*
2022-23	*	*	0.60	*	*
2023-24	*	*	*	*	*

*Collection targets will be fixed after submission of sales data



From pre page.....

3. The Authorisation shall be valid for a period of five (5) years from date of issue with following conditions:

- i. You shall strictly follow the approved Extended Producer Responsibility plan, a copy of which is enclosed herewith as Enclosure-I;
- ii. You shall ensure that collection mechanism or collection Centres are set up or designated as per the details given in the Extended Producer Responsibility plan and that shall be completed before the proposed dates if any in the EPR Plan (list of collection Centres and the toll free numbers for reverse logistics enclosed);
- iii. You shall ensure that all the collected e-waste is channelized to your authorised dismantlers/recyclers M/s. Sogo Synergy Pvt. Ltd., Shed No. A-57, KSSIDC Industrial Estate, Bommasandra, Hosur road, Anekal Tq. Bangalore Urban District-560099 and records shall be maintained both at recycler's end as well as at your end;
- iv. You shall maintain records, in Form-2 of these Rules, of e-waste and make such records available for scrutiny by Central Pollution Control Board as and when directed;
- v. You shall file annual returns in Form-3 to the Central Pollution Control Board on or before 30th day of June following the financial year to which that returns relates;
- vi. You shall submit annual sales data along with your annual returns.

vii. General Terms & Conditions of the Authorisation:

- a. The authorisation shall comply with provisions of the Environment (Protection) Act, 1986 and the E-waste (Management) Rules, 2016 made there under;
- b. The authorisation or its renewal shall be produced for inspection at the request of an officer authorised by the Central Pollution Control Board;
- c. Any change in the approved Extended Producer Responsibility plan should be informed to Central Pollution Control Board within 15 days on which decision shall be communicated by Central Pollution Control Board within sixty days;
- d. It is the duty of the authorised person to take prior permission of the Central Pollution Control Board to close down any collection centre/points or any other facility which are part of the EPR plan;
- e. An application for the renewal of authorisation shall be made as laid down in sub-rule (vi) of rule of 13(1) the E- Waste (Management) Rules, 2016;
- f. The Central Board reserves right to cancel/amend/revoke the authorisation at any time as per the policy of the Central Board or as notified by the Government from time to time.

Anand Kumar



viii. Additional Conditions: -

- a) That the applicant will submit revised application for grant of EPR Authorisation in case of applicant engaging any PRO or changing its EPR Plan;
- b) That the applicant has to modify agreement with his recycler/dismantler by adding clauses that his recycler/dismantler will arrange collection and channelisation of e-waste from any part of the country or from any consumer in an environmentally sound manner as and when required and the recycler/dismantler has the capabilities to handle, dismantle and recycle the EEE item code ITEW1(Mainframes), ITEW2, ITEW3, ITEW4, ITEW5, ITEW6, ITEW7, ITEW8, ITEW9 & ITEW12 including its components, consumables, parts and spares and submit it within three (03) weeks of receiving of this EPR Authorisation to CPCB;
- c) That the applicant has to modify its website by including content on its collection schemes of e-waste, details of collection points, toll free number for collection and channelization and the link should be on the home page at prominent place and inform CPCB within three (03) weeks of receiving this EPR Authorisation;
- d) That the applicant has to ensure that the address in its IEC certificate should be modified and it should be same as given at point no 1 of the Form – 1 and submit the same within three (03) weeks of receiving this EPR Authorisation;
- e) That the applicant has to ensure that the e-waste collected at collection centres/points or through Buy-back and DRS mentioned in the application should reach to its authorized dismantler/recycler;
- f) That the applicant has to submit to CPCB every quarter the amount of E-waste (in weight) of EEE code ITEW1(Mainframe), ITEW2, ITEW3, ITEW4, ITEW5, ITEW6, ITEW7, ITEW8, ITEW9 & ITEW12 collected in that quarter and recycled;
- g) That the applicant has to submit Enclosure - A of the self-declaration whenever it launches any new model of its product;
- h) That the applicant has to provide RoHS information on the booklet and brochures of their Products;
- i) That the applicant has to ensure that the Bills/Invoices of sale of EEE ITEW1(Mainframe), ITEW2, ITEW3, ITEW4, ITEW5, ITEW6, ITEW7, ITEW8, ITEW9 & ITEW12 have to contain information on toll free number, web site link for collection, channelisation of e-waste;
- j) That the applicant has to maintain all the technical documentation on RoHS for verification by CPCB/SPCB whenever required;
- k) In case of any violation of the above condition, your authorization will be suspended/ cancelled.
- l) Time lines mentioned above has to be strictly met, failing which authorisation shall automatically stand withdrawn.

Anand Kumar
10/09/19
Authorized signatory
(With designation)

To,
M/s. Sogo Synergy Pvt. Ltd.,
D1/1, 3rd Floor, Hayes Court 11/9, Hayes Road,
Off Richmond Road, Bangalore-560025

Anand Kumar
Additional Director &
Divisional Head, WM-III Division
Central Pollution Control Board
M/o Env., Forest & Climate Change, Govt. of India
Parivesh Bhawan, East Arjun Nagar
Delhi-110 032